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4 Functional Synergy between Antimicrobial Peptoids and Peptides against Gram-
5 Negative Bacteria

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10 Running Title: Synergy between antimicrobial peptoids and peptides

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1 **ABSTRACT**

2 Antimicrobial peptides (AMPs) are integral components of innate immunity and are typically found in
3 combinations with which they can synergize for broader spectrum or more potent activity. Previously,
4 we reported peptoid mimics of AMPs with potent and selective antimicrobial activity. Using
5 checkerboard assays, we demonstrate that peptoids and AMPs can interact synergistically, with
6 fractional inhibitory concentration indices as low as 0.16. These results strongly suggest that
7 antimicrobial peptoids and peptides are functionally and mechanistically analogous.

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1 Cationic antimicrobial peptides (AMPs) comprise a diverse class of natural antibiotics produced
2 by a vast array of organisms, including prokaryotes, insects, plants, amphibians, and mammals,
3 forming an integral component of their innate immunity (33). This universal presence across the
4 kingdoms of life and broad-spectrum activity against multiple pathogens including drug-resistant strains
5 has attracted substantial interest toward developing them for clinical applications (7, 9, 10, 21). Due to
6 rising rates of drug resistance, the need for novel antibiotics is acute (1), but many AMPs suffer from
7 high dose-limiting toxicity. One potential solution to problems of both resistance and toxicity is to use a
8 synergistic combination of antimicrobial compounds, an approach that is ubiquitous in anticancer
9 therapy and is receiving increasing attention in the treatment of infectious diseases (2). Many species
10 produce AMPs with known synergistic interactions, including bacteria (14), insects (20), amphibians
11 (16, 31), and humans (3, 27, 28), with synergy arising through a variety of mechanisms (2, 14, 29).

12 Although AMPs have the potential to be developed into a new class of clinically useful
13 antibiotics, peptides are susceptible to proteolytic degradation and are thus poorly bioavailable.
14 Therefore, we have developed mimics of AMPs using peptoids (poly-*N*-substituted glycines), which are
15 protease-resistant (15) isomers of peptides (Fig. 1), with broad-spectrum antibacterial activity
16 comparable to, and in some cases better than, antimicrobial peptides (4, 5, 11, 12, 19).

17 We hypothesized that, as true mechanistic analogs of AMPs, antimicrobial peptoids should also
18 be able to interact synergistically with peptides and with each other. Using checkerboard antibacterial
19 assays, we determined fractional inhibitory concentrations for a panel of nine cationic, helical
20 antimicrobial peptoids and peptides against both Gram-negative and Gram-positive bacteria, which
21 revealed highly synergistic interactions.

22 ***In vitro* antibacterial and hemolytic activities of individual oligomers.** For these studies,
23 we selected a panel of two AMPs and seven antimicrobial peptoids with a range of hydrophobicities
24 and selectivities for bacterial versus mammalian cells. Peptoids were synthesized as previously
25 reported (34), and peptides were synthesized using conventional Fmoc chemistry. The names,
26 sequences, hydrophobicities, antibacterial activities against Gram-negative (*E. coli*) and Gram-positive

1 (*B. subtilis*) bacteria, and hemolytic activities of these nine compounds are shown in Table 1 (values in
2 $\mu\text{g}/\text{mL}$ are provided in Table S1 in the Supplemental Materials). The antibacterial activities are
3 reported as minimum inhibitory concentrations (MIC), determined according to standard CLSI M7-A6
4 protocols (6); hemolytic activities, determined as previously reported (5), serve as a measure of
5 antimicrobial peptide/peptoid toxicity (9), which is commonly used to optimize antimicrobial
6 peptide/peptoid therapeutic performance (4, 5, 17, 19, 22-24). We calculated selectivity ratios (SRs)
7 for each compound, which we defined as the quotient of the 10% hemolytic dose (HD_{10}) and the *E. coli*
8 MIC. All seven peptoids were based on the previously reported dodecamer **1** (19), which contains one-
9 third lysine-like *N*Lys monomers and two-thirds phenylalanine-like *N*spe residues (Fig. 1).

10 **Checkerboard antibacterial assays.** We used checkerboard antibacterial assays to determine
11 fractional inhibitory concentrations (FICs) and FIC indices (ΣFICs) for interactions between peptoids
12 and peptides (as described in Supplemental Material) (8). A ΣFIC of 1 is defined as additive with no
13 synergy, and values ≤ 0.5 indicate increasing degrees of synergy. Lowest ΣFICs for combinations of
14 the compounds in Table 1 are shown in Tables 2 and 3 (for *E. coli* and *B. subtilis*, respectively).

15 Against *E. coli* (Table 2), 21 out of 36 combinations tested (excluding controls) demonstrated
16 $\Sigma\text{FICs} \leq 0.50$, indicating synergy; 7 combinations yielded $\Sigma\text{FICs} \leq 0.25$, indicating highly synergistic
17 interactions with at least an 8-fold decrease in the MIC of each compound in the presence of the other.
18 These highly synergistic interactions comprised all three possible classes of combinations: peptide-
19 peptide, peptide-peptoid, and peptoid-peptoid. In contrast, no synergy was observed against *B. subtilis*
20 (Table 3), as further discussed in the Supplementary Materials.

21 **Mechanistic Implications.** Very broadly, two possible mechanisms may account for synergy
22 between two compounds: the compounds associate to form a third entity with more potent antimicrobial
23 activity; or the two compounds operate through complementary mechanisms. We propose that the
24 latter situation is true for the compounds tested for several reasons. First, although the seven peptoids
25 were all derived from the sequence of peptoid **1**, many of the most synergistic combinations involved
26 both peptoids and peptides that differ considerably in sequence. Were these compounds forming

1 synergistic dimers, they might be expected to share common structural (i.e. dimerization) motifs.
2 Second, intermolecular associations should give rise to both antagonistic and synergistic interactions—
3 it is likely that dimerization would, in some cases, inhibit the normal action of each molecule, with
4 antibacterial activity of the dimer *worse* than its constituents. The conspicuous absence of antagonism
5 in both Tables 2 and 3 (i.e. Σ FICs \geq 4.00) implies that intermolecular associations are not responsible
6 for synergy in these cases. Notably, PGLa, which is well known for its synergistic interaction with
7 magainin-2 (31), has been shown to interact antagonistically with AMPs other than magainin-2 (30).
8 Third, if heterodimerization were responsible for synergy, synergistic combinations would be expected
9 to exhibit 1:1 stoichiometry. Instead, the majority of the highly synergistic pairs worked most efficiently
10 in molar ratios other than 1:1 (Tables 2 and 3). Notably, Yan and Hancock found that antimicrobial
11 peptides from distinct species and structural classes effect synergistic antibacterial activity (32),
12 suggesting that intermolecular associations may not be required since unrelated peptides have not co-
13 evolved and are thus less likely to form synergistic dimers. Thus, while not proven experimentally, it is
14 unlikely that a dimerization is occurring.

15 If complementary mechanisms are indeed responsible for the observed synergy, then several
16 important mechanistic hypotheses may be deduced. In previous work, we have showed that low
17 molecular hydrophobicity corresponds to selective antibacterial activity, whereas high hydrophobicity
18 correlates with non-selective activity for both peptides and peptoids (5, 19). As seen in Table 2, highly
19 synergistic interactions (Σ FIC \leq 0.25, corresponding to at least an 8-fold decrease in MIC of each
20 compound in the presence of the other) between these nine oligomers against *E. coli* occurred
21 exclusively in combinations containing one selective (less hydrophobic) compound and one non-
22 selective (more hydrophobic) compound. It is therefore likely that the members of synergistic pairs in
23 Table 2 are employing distinct, but complementary mechanisms.

24 Notably, this synergy data is highly consistent with mechanistic analogy between antimicrobial
25 peptoids and peptides. One of the most synergistic combinations consists of the peptides pexiganan
26 and melittin (Σ FIC = 0.16). A high degree of synergy is maintained either if the non-selective melittin is

1 substituted by a non-selective peptoid (e.g., $\mathbf{1}_{17\text{mer}}$) or if pexiganan is substituted by a highly selective
2 peptoid (e.g., $\mathbf{1}\text{-MLys}_{5,11}$), or both. The robustness of synergy to these substitutions implies that the
3 mechanisms used by peptoids are fully analogous to those used by AMPs of similar hydrophobicity and
4 selectivity. Although we did not explicitly investigate mechanism in this work, the aforementioned
5 trends bear notable resemblance to the spectrum of mechanisms defined at either extreme by the
6 barrel-stave and carpet mechanisms, as described in several reviews by Shai, *et al.* (18, 25, 26).

7 **Hemolysis and Therapeutic Potential.** We determined the hemolytic activities of the nine
8 most synergistic pairs in Table 2 by combining them in the same molar ratios present in lowest- Σ FIC
9 wells and serially diluting them. The resulting HD_{10} and HD_{50} for each combination, as well as the molar
10 ratio used, are shown in Table 4. In addition, we calculated the theoretical HD_{10} and HD_{50} for each
11 combination from the individual hemolysis data (Table 1) by assuming an additive hemolytic interaction;
12 i.e., we averaged the individual % hemolysis curves, weighted according to the molar composition of
13 the combinations, and determined the hemolytic doses from the averaged curves (Table 4). We found
14 a close correspondence between experimentally determined hemolytic doses and those theoretically
15 calculated assuming that hemolysis was non-synergistic, demonstrating that hemolytic activities are the
16 result of additive, rather than synergistic, interactions (Table 4). This is not particularly deleterious,
17 however, since much current development of antimicrobial peptides is for topical applications (13), and
18 synergy can be maximized while minimizing hemolytic activity by using two moderately selective
19 peptoids, as in the combination $\mathbf{1}/\mathbf{1}\text{-Pro}_6$.

20 In summary, we have demonstrated highly synergistic interactions between antimicrobial
21 peptoids and peptides. The observed synergy strongly suggests mechanistic analogy between these
22 two classes of compounds. Furthermore, the tendency of hydrophobic oligomers to synergize with
23 relatively hydrophilic oligomers suggests that selective and non-selective antimicrobial peptides and
24 peptoids kill bacteria *via* distinct, but complementary mechanisms, offering a pathway to further
25 optimize both for therapeutic applications.

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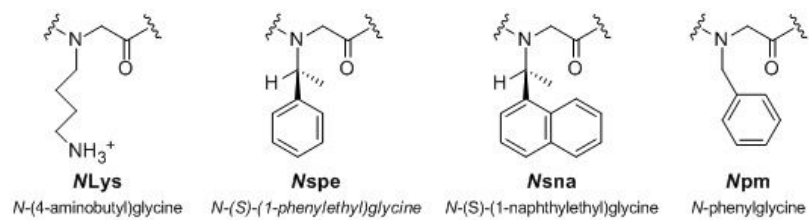
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Fig 1. Guide to peptoid monomers.

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3**Table 1.** *In vitro* activities of individual peptoids and peptides for synergy studies, listed in order of increasing molecular hydrophobicity, as measured by RP-HPLC retention time.

Compound	Sequence	% ACN at RP-HPLC elution*	<i>E. coli</i> ATCC 35218 MIC (μ M)	<i>B. subtilis</i> ATCC 6633 MIC (μ M)	HD ₁₀ / HD ₅₀ (μ M)	SR [†]
pexiganan	GIGKFLKKAKKFGKAFVKILKK-NH ₂	50.2	3.1 – 6.3	1.6	73 / > 200	12
1 -NLys _{5,11}	H-(NLys-Nspe-Nspe-NLys-NLys-Nspe) ₂ -NH ₂	51.2	50	0.78	> 200 / > 200	> 4.0
1 -NHis _{6,12}	H-(NLys-Nspe-Nspe-NLys-Nspe-NHis) ₂ -NH ₂	51.4	50	0.78 – 1.6	> 200 / > 200	> 4.0
1 _{achiral}	H-(NLys-Npm-Npm) ₄ -NH ₂	60.4	12.5	1.6	180 / > 200	14
1 -Pro ₆	H-NLys-Nspe-Nspe-NLys-Nspe-Pro-(NLys-Nspe-Nspe) ₂ -NH ₂	62.2	12.5	1.6	83 / > 200	6.6
1	H-(NLys-Nspe-Nspe) ₄ -NH ₂	65.1	6.3	1.6	14 / 62	2.2
melittin	GIGAVLKVLTTGLPALISWIKRKRQQ-NH ₂	65.2	12.5	1.6	1 / 6	0.16
1 -Nsna _{6,12}	H-(NLys-Nspe-Nspe-NLys-Nspe-Nsna) ₂ -NH ₂	68.1	25 – 50	0.78 – 1.6	7 / 27	0.28
1 _{17mer}	H-Nspe-Nspe-(NLys-Nspe-Nspe) ₅ -NH ₂	70.1	25 – 50	0.78 – 1.6	3 / 15	0.06

* Determined using a gradient of 5-95% acetonitrile over 45 minutes, C₁₈ column, 0.2 mL/min; the average of three replicates is reported; ACN: Acetonitrile; RP-HPLC: Reverse-Phase High-Performance Liquid Chromatography

[†] Selectivity ratio = HD₁₀ / (*E. coli* MIC); MIC: Minimum Inhibitory Concentration; HD: Percent Hemolysis

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Table 2. Lowest FIC indices for binary combinations of peptoids and peptides against *E. coli* ATCC 35218. Σ FICs ≤ 0.50 are shown in bold type. Additionally, Σ FICs ≤ 0.25 are colored blue. The molar compositions of the lowest-FIC wells are shown in parentheses below each Σ FIC. Compounds are organized in order of increasing molecular hydrophobicity horizontally, and decreasing molecular hydrophobicity vertically.

<i>E. coli</i> ATCC 35218 Σ FIC ([A]/[B])*									
Compound (% ACN)	PEX (50.2)	1-Mlys _{5,11} (51.2)	1-NHis _{6,12} (51.4)	1 _{achiral} (60.4)	1-Pro ₆ (62.2)	1 (65.1)	MEL (65.2)	1-Nsna _{6,12} (68.1)	1 _{17mer} (70.1)
1 _{17mer}	0.16 (0.20/3.1)	0.16 (1.6/6.3)	0.25 (6.3/6.3)	0.31 (3.1/3.1)	0.50 (3.1/6.3)	0.63 (6.3/3.1)	0.75 (3.1/12.5)	1.00 (12.5/25)	—
1-Nsna _{6,12}	0.19 (0.20/3.1)	0.25 (6.3/3.1)	0.31 (12.5/1.6)	0.31 (3.1/3.1)	0.50 (3.1/6.3)	0.51 (3.1/0.20)	0.75 (6.3/6.3)	—	
MEL	0.16 (0.20/1.6)	0.16 (1.6/1.6)	0.31 (3.1/3.1)	0.31 (3.1/0.78)	0.50 (3.1/3.1)	0.52 (3.1/0.20)	0.75 (3.1/6.3)		
1	0.38 (0.78/1.6)	0.50 (0.20/3.1)	0.50 (12.5/1.6)	0.50 (3.1/1.6)	0.50 (3.1/1.6)	—			
1-Pro ₆	0.50 (1.6/3.1)	1.00 (25/6.3)	0.63 (6.3/6.3)	0.75 (3.1/6.3)	1.00 (6.3/6.3)				
1 _{achiral}	0.52 (3.1/0.20)	0.63 (25/25)	0.56 (25/0.78)	—					
1-NHis _{6,12}	0.63 (0.39/25)	1.00 (25/25)	—						
1-Mlys _{5,11}	1.00 (1.6/25)	—							
PEX	0.53 (3.1/0.20)								

* "A" denotes the compound listed across the top, whereas "B" denotes the compound listed down the left side.

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Table 3. Lowest FIC indices for binary combinations of peptoids and peptides against *B. subtilis* ATCC 6633. Σ FICs ≤ 0.50 are shown in bold type. Additionally, Σ FICs ≤ 0.25 are colored blue. The molar compositions of the lowest-FIC wells are shown in parentheses below each Σ FIC. Compounds are organized in order of increasing molecular hydrophobicity horizontally, and decreasing molecular hydrophobicity vertically.

<i>B. subtilis</i> ATCC 6633 Σ FIC ([A]/[B])*									
Compound (% ACN)	PEX (50.2)	1-MLyS _{5,11} (51.2)	1-NHis _{6,12} (51.4)	1 _{achiral} (60.4)	1-Pro ₆ (62.2)	1 (65.1)	MEL (65.2)	1-Nsna _{6,12} (68.1)	1 _{17mer} (70.1)
1 _{17mer}	1.13 (0.20/1.6)	0.63 (0.20/0.78)	1.00 (0.78/0.39)	1.00 (0.78/0.78)	1.00 (0.78/0.78)	1.00 (0.78/0.39)	0.63 (0.78/0.20)	1.25 (0.20/0.78)	—
1-Nsna _{6,12}	1.00 (0.78/0.78)	0.75 (0.20/0.39)	0.75 (0.39/0.78)	0.75 (0.78/0.39)	0.75 (0.39/0.39)	0.63 (0.39/0.78)	0.75 (0.78/0.20)	—	
MEL	1.00 (0.78/0.78)	0.75 (0.39/0.39)	0.75 (0.78/0.39)	0.63 (0.20/0.78)	1.00 (0.78/0.78)	1.00 (0.78/0.78)	0.75 (0.39/0.78)		
1	1.00 (0.78/0.78)	1.00 (0.39/0.78)	0.75 (0.39/0.78)	1.00 (0.78/0.78)	1.00 (0.78/0.78)	—			
1-Pro ₆	1.13 (0.20/1.6)	1.00 (0.39/0.78)	1.00 (0.78/0.78)	0.63 (0.20/0.78)	1.06 (0.10/1.6)				
1 _{achiral}	0.75 (0.78/0.39)	1.00 (0.39/0.78)	1.13 (0.20/1.6)	—					
1-NHis _{6,12}	0.75 (0.39/0.78)	0.63 (0.78/0.20)	—						
1-MLyS _{5,11}	0.75 (0.78/0.20)	—							
PEX	1.00 (0.78/0.78)								

* "A" denotes the compound listed across the top, whereas "B" denotes the compound listed down the left side.

Table 4. Theoretical and experimentally determined hemolytic activities of synergistic combinations.

Combination (compound A / compound B)	Molar ratio (mol A / mol B)	Theoretical HD ₁₀ / HD ₅₀ (μM)*	Experimental HD ₁₀ / HD ₅₀ (μM)
pexiganan / melittin	1 : 8	1 / 7	2 / 8
pexiganan / 1 -Nsna _{6,12}	1 : 16	7 / 29	7 / 28
pexiganan / 1 _{17mer}	1 : 16	3 / 17	4 / 18
1 -MLys _{5,11} / melittin	1 : 1	2 / 24	2 / 10
1 -MLys _{5,11} / 1 -Nsna _{6,12}	2 : 1	17 / > 100	19 / 76
1 -MLys _{5,11} / 1 _{17mer}	1 : 4	4 / 21	5 / 21
1 -MHis _{6,12} / melittin	1 : 1	2 / 24	3 / 12
1 -MHis _{6,12} / 1 -Nsna _{6,12}	8 : 1	59 / > 100	65 / > 200
1 -MHis _{6,12} / 1 _{17mer}	1 : 1	6 / 79	9 / 38
Peptoid 1 / 1 -Pro ₆	1 : 2	31 / 180	31 / 140
Peptoid 1 / 1 _{achiral}	1 : 2	34 / > 200	46 / 190

* Assumes additive interaction – weighted average of % hemolysis curves.

